Applicant: Lyon, James Organisation: Rising Phoenix Co. Ltd. Funding Sought: £337,596.00

IWTR8S2\1019

Reduced illegal wildlife trade and strengthened rural communities

Siem Pang Wildlife Sanctuary is a trans-frontier protected area contiguous with Xe Pian National Park in Laos with a shared 120 km porous border, across which wildlife, timber, drugs, and people are trafficked unregulated. These activities weaken social cohesion, undermine governance structures and contribute to poverty. The project aims to reduce poverty and illegal wildlife poaching and trade through a scalable programme in sustainable livelihoods using a proven approach, increased gender-neutral career opportunities and strengthened law enforcement.

PRIMARY APPLICANT DETAILS

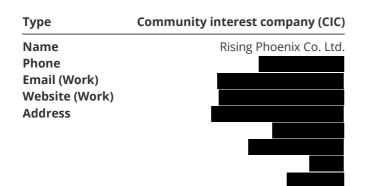


Section 1 - Contact Details

PRIMARY APPLICANT DETAILS



GMS ORGANISATION



Section 2 - Objectives, Species & Summary

Q3. Title:

Reduced illegal wildlife trade and strengthened rural communities

What was your Stage 1 reference number? e.g. IWTR8S1\1001

IWTR8S1\1083

Q4. Which of the four key IWT Challenge Fund objectives will your project address?

Please tick all that apply. Note that projects supporting more than one will not achieve a higher score.

Strengthening law enforcement

Developing sustainable livelihoods to benefit people directly affected by IWT

Q5. Species project is focusing on

Where there are more than four species that will benefit from the project's work, please add more boxes using the selection option below.

Sunda Pangolin Manis javanica	Asian Black Bear Ursus thibetanus	
Malaysian Sun Bear Helarctos malayanus	Pygmy slow loris Nycticebus pygmaeus	
Do you require more fields?		

• Yes

Gaur Bos gaurus	Banteng Bos javanicus
Eld's Deer Rucervus eldii	Siamese rosewood Dalbergia cochinchinensis

Q6. Summary

Please provide a brief summary of your project, its aims, and the key activities you plan on undertaking. Please note that if you are successful, this wording may be used by Defra in communications e.g. as a short description of the project on the website.

Please write this summary for a non-technical audience.

Siem Pang Wildlife Sanctuary is a trans-frontier protected area contiguous with Xe Pian National Park in Laos with a shared 120 km porous border, across which wildlife, timber, drugs, and people are trafficked unregulated. These activities weaken social cohesion, undermine governance structures and contribute to poverty. The project aims to reduce poverty and illegal wildlife poaching and trade through a scalable programme in sustainable livelihoods using a proven approach, increased gender-neutral career opportunities and strengthened law enforcement.

Section 3 - Title, Dates & Budget Summary

Q7. Country(ies)

Which eligible host country(ies) will your project be working in? Where there are more than four countries that your project will be working in, please add more boxes using the selection option below.

Country 1	Cambodia	Country 2	Laos
Country 3	No Response	Country 4	No Response

Do you require more fields?

No

Q8. Project dates

Start date:	End date:	Duration (e.g. 2 years, 3 months):
01 July 2022	30 June 2025	3 years

Q9. Budget summary

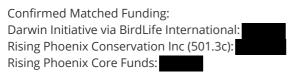
Year:	2022/23	2023/24	2024/25	2025/26	Total request
Amount:	£66,641.00	£160,726.00	£88,933.00	£21,296.00	£ 337,596.00

Q10. Proportion of IWT Challenge Fund budget expected to be expended in eligible countries: %

Q11a. Do you have matched funding arrangements?

⊙ Yes

What matched funding arrangements are proposed?



Unconfirmed Matched Funding: USAID Morodok Baitang:

Q11b. Total confirmed & unconfirmed matched funding (£)

Q11c. If you have a significant amount of unconfirmed matched funding, please clarify how you fund the project if you don't manage to secure this?

USAID has recently begun a new project in Cambodia entitled Morodok Baitong. This five year project with a budget of will support private sector and market led approaches to conservation and sustainable livelihoods. Rising Phoenix worked with the project originators, Tetratech to include Siem Pang Wildlife Sanctuary as a named project. In March we will host the project Chief of Party to develop and agree a range of activities that Rising Phoenix will be contracted to provide.

Section 4 - Problem statement & Gap in existing approaches

Q12. Project stage

With reference to the application guidance, please select the relevant project stage.

Main

Q13. Problem the project is trying to address

Please describe the problem your project is trying to address in terms of illegal wildlife trade and its relationship with poverty. Please describe the level of threat to the species concerned. Please also explain which communities are affected by this issue, and how this aspect of the illegal trade in wildlife relates to poverty or efforts of people and/or states to reduce poverty.

Please cite the evidence you are using to support your assessment of the problem (references can be listed in your

additional attached PDF document).

The project will address hunting and cross-border trade in wildlife and their drivers, poverty and weak law enforcement. Siem Pang is a remote border district where communities are self-reliant, there are few economic opportunities in the formal economy and governance is weak. Local communities engage in subsistence agriculture. Since opportunities in the wider economy are limited, many individuals engage in commercial hunting.

The project will increase household income by promoting the cultivation and sale of organic rice, which households sell at a premium price considerably above market price in return for signing conservation agreements abstaining from hunting, encroachment and logging. Although the IBIS Rice scheme is itself not new under this project, we will seek to empower villagers using existing and new modalities to make the system sustainable.

The project will address the illegal hunting and trade of Critically Endangered, Endangered and selected Vulnerable species as listed by IUCN and CITES Appendix I and II including Sunda Pangolin, Gaur, Banteng, Eld's Deer, Asian Black and Malay Sun Bears and Siamese rosewood a CITES Appendix II species. These species all occur within Siem Pang Wildlife Sanctuary and are traded across the international frontier with Laos as well as domestically.

Hunting is undertaken widely in the community but within the economically marginalized Kavet community especially. The use of snares to trap terrestrial wildlife has increased dramatically over recent years. This combined with under employment amongst females presents new opportunities to address poaching whilst providing permanent employment opportunities that can also address poverty.

Illegal wildlife trade is often conducted with impunity and openly because of a lack of capacity and coordination amongst law enforcement agencies. For example, currently there are only seven enforcement rangers deployed by the Department of Environment (DoE) in Siem Pang Wildlife Sanctuary, when regional norms indicate there should be one ranger per thousand hectares giving a ranger force of 135 rangers. In short, no meaningful impact to reduce wildlife trade can be expected when the ranger force is at less than 10% of the required level.

The DoE do not exist and operate in isolation as there are other law enforcement agencies operating in and around the protected area under different jurisdictions including the Border Police and Army, but are not coordinated. Patrolling is also not coordinated with dedicated intelligence or investigation teams, and there is no cooperation across the border. Therefore, criminal syndicates conducting international wildlife trade escape prosecution, whereas the small-time subsistence poacher working for middlemen are detained and fined placing them and their families in more debt. This programme will ensure coordination is established through already existing mandates, statutes and multilateral agreements and the gaps in the capability of law enforcement is improved through replicable capacity development.

Q14. Gap in existing approaches

What gap does your project fill in existing approaches? Evidence projects should describe how the improved evidence base will be used to design an intervention and the gap the intervention will fill. Extra projects should also provide evidence of the intervention's success at a smaller scale.

The approach utilized by Wildlife Alliance under IWT-035 Sustainability through ecotourism: improving livelihoods and disrupting wildlife trade in Cambodia saw the transfer of resources to promote ecotourism. This approach has no leverage on the recipient to reduce illegal behavior. The IBIS Rice approach requires a contract with the village household and failure to uphold the agreement results in removal from the scheme inflicting a financial penalty, thus leverage exists to achieve the desired conservation outcome.

Under the Siem Pang PATROL project we have established a government sanctioned forum for all law enforcement agencies within the district which is under the chair of the provincial authority but coordinated by Rising Phoenix. Wildlife Alliance project lacked this mechanism.

This new project therefore addresses gaps in previous approaches to the provision of livelihood benefits designed to reduce international wildlife trade and pioneers an entirely new approach to coordinating law enforcement. Although the IBIS Rice scheme is not new, this is the first time they has been coupled in a single project.

The new project builds on the success of the Darwin Initiative project Organic livelihoods conserving Cambodia's big five 27-005 by taking key steps towards making IBIS Rice sustainable and enabling further scaling.

Q15. Which national and international objectives and commitments does this project contribute towards?

Consider national plans such as NBSAPs and commitments such as London Conference Declarations and the Kasane and Hanoi Statements. Please provide the number(s) of the relevant commitments and some brief information on how your project will contribute to them. There is no need to include the text from the relevant commitment.

This project addresses all three strategic goals of the Cambodian National Biodiversity Strategy and Action Plan (2002). It addresses all three goals under 1.1 Protected Areas and the goal 1.2 Endangered Species.

As per the London Conference on the Illegal Wildlife Trade (2018 and 2019), Output 1: addresses points 3, 7, 13 and 17 in the development of sustainable livelihoods that impact illegal wildlife trade via the provision of livelihood alternatives in the form of IBIS Rice. Output 2: addresses points 5, 7, and 13 in which we are engaging local communities, generating jobs and combating illegal wildlife trade, involving and recognising the essential role indigenous communities play in developing sustainable solutions. Output 3: addresses points 4, 8, 10, 11, 12, 14, 15, 16, and 18 in which Siem Pang PATROL recognizes the links to national security, transnational organized crime, drugs, wildlife timber and human trafficking through organized criminal networks. We are developing the capacity for multi-agency intelligence and investigation units, utilizing regional and national agreements, involving partner agencies that normally are not involved in wildlife crime, to take down criminal networks involved in the illegal wildlife trade.

Under the Royal Government of Cambodia agreement with the United Nations for PATROL, Output 3 will strengthen the Border Liaison Office, enhance cooperation between criminal justice agencies within and between borders, and support the production, dissemination and use by stakeholders of strategic and operational information on illicit trafficking to inform evidence-based responses and increase knowledge and capacity of frontline law enforcement officers.

Section 6 - Method, Change Expected, Gender & Exit Strategy

Q16. Methodology

Describe the methods and approach you will use to achieve your intended Outcome and contribute towards your Impact. Provide information on:

- How have you reflected on and incorporated evidence and lessons learnt from past and present activities and projects in the design of this project?
- The need for this work and a justification of your proposed approach.
- How you will undertake the work (materials and methods).
- How you will manage the work (roles and responsibilities, project management tools, risks etc.).

Outcome 1: Expansion of IBIS Rice Programme:

"Our focus remains within Cambodia. I mean, there's a huge opportunity to work with many more communities in many, many more landscapes." Nick Spencer CEO of IBIS Rice Co. Ltd quoted on 2 March 2022, which provides a clear statement of intent to further expand IBIS Rice. Product penetration into Europe and North American markets is currently low because the volume produced is insufficient to meet the requirement of large supermarket buyers. For example in the UK currently IBIS Rice may be purchased only at seven Planet Organic Stores in London. However, in 2022 IBIS Rice is expected to sign an agreement with Waitrose with 338 stores across the United Kingdom. Essentially the opportunities for expanding the scheme within Siem Pang district are limitless and the project target of 1,200 households by project end is realistic. We will further expand the number of participating households within the ten villages already in the scheme by working through established mechanisms and by using new approaches including Village Agents. We will promote new measures to encourage a higher proportion of households to sell more of their paddy to IBIS Rice. These measures will include offering for sale cheaper quality rice that can be purchased at the point of sale of the organic paddy. We will undertake village trainings in financial illiteracy targeting female household members, and strengthen, via mentoring at

least one Village Marketing Committee to ensure it is self-financing by 2025 and not reliant on subsidy.

Outcome 2: Diverse and gender inclusive scout teams:

Lao and Kavet ethnic minority villages will be visited and a two-day selection course will be conducted for up to sixty villagers. Via competitive selection, we will choose the twenty best performing female and male applicants. The 20 trainees will undergo a two-week course covering first-aid, navigation, field craft, safety and snare removal. After graduation the 20 new scouts will be assigned roles and mentored by existing scouts. We propose to establish an all-female snare removal team. This vital work requires methodical and diligent searching qualities more often honed in local women who traditionally search the ground for mushrooms, herbs and tubers.

Outcome 3: Siem Pang PATROL Programme:

Cambodian Border Liaison Office (BLO) and PATROL officers will meet with their Lao PDR counterparts to plan activities, followed by a study tour for Lao PDR counterparts to observe and comment on all aspects of this programme.

A two-week competency-based advanced investigation course with 20 selected officers from Siem Pang PATROL and their Lao PDR counter-parts, will be held with hands-on scenario-based subjects covering: introduction to IWT and transboundary crime; smuggling techniques; the border liaison mechanism; intelligence theory and the intelligence cycle; sources of information; handling informants; six phases of a major investigation; crime scene processing; interviewing techniques; prosecutorial procedures; technical equipment; surveillance; arrests; raids and takedowns. This training will allow the establishment of permanent and ad-hoc intelligence and investigation teams that can target specific crime and criminals operating within or across borders, this training has been tried and tested in Cambodia under previous USAID-ARREST Programmes and the UNODC Border Management Programme, but it is known that one training does not fit all areas and this training will incorporate specific concerns and geospatial requirements for the area of operations. Regular meetings between Cambodia and Lao PDR will occur at the BLO, supported by Rising Phoenix's Surveillance, Intelligence and Reporting Unit which will help with reporting. Only government officers will be involved in undercover operations and dealing with informants. Refresher training with present patrolling officers, will update counter-poaching teams on new techniques used by poachers and tactics to counter them in the field it will also update requirements from investigation and intelligence teams, such as detailed crime scene processing and reporting from the field to ensure a link is cemented between counter-poaching patrols and illegal wildlife trade investigations. Ultimately this will allow the Siem Pang PATROL Programme to successfully be intelligence led to arrest and prosecute illegal wildlife traders operating within Siem Pang and across the border into Lao PDR, and thus reduce both poaching and the illegal trade and trafficking in wildlife.

Q17. Capability and Capacity

How will you support the strengthening of capability and capacity in the project countries at organisational or individual levels, please provide details of what form this will take and the post-project value to the country.

Village Marketing Network committees (VMN) will conduct capacity development programmes including mentoring which enable villagers to become Village Agents, and therefore ultimately allow the IBIS Rice programme to be sustainable and controlled by the villagers themselves.

Managing household budgets in subsistence communities causes challenges for people unused to handling large amounts of cash. Financial literacy training with an emphasis on women will be provided to households participating in the IBIS Rice scheme.

Rising Phoenix provides structured competency-based training (CBT) for law enforcement officers and is the only organisation in Cambodia known to do so.

In the project area the capability to actively seek out, arrest and prosecute illegal wildlife trade crime is very low, this can be seen as to date there has not been one-prosecution, only fines issued. This grant will improve the capacity of multi-agency, multi-national investigators through intelligence led prosecutions of wildlife traffickers.

The Advanced Investigation Course will improve the capacity of prosecutors and law enforcement counterparts from both Cambodia and Lao PDR to use intelligence led policing to effectively seek out, arrest and dismantle local and transboundary illegal wildlife trafficking crime syndicates, through all legal means possible.

The Scout Course will improve the capacity of local villagers to effectively support law enforcement and operate safely in

the protected area.

The refresher training will offer desperately needed capacity development for patrolling officers to counter new threats of poaching, develop better tactical responses, and introduce new capacity on how to work closely with investigators.

At all stages mentoring will be conducted to ensure standards are maintained. This includes mentoring members of the Siem Pang PATROL Operational Working Group, to ensure effective operations and decision are made in the implementation of this programme.

Q18. Gender equality

All applicants must consider whether and how their project will contribute to reducing inequality between persons of different gender. Explain how your understanding of gender equality within the context your project, and how is it reflected in your plans.

Rising Phoenix Co Ltd is a gender neutral meritocracy and is cognizant that gender roles within Cambodia and especially within the remote rural areas are deeply divided into which women are traditionally seen as working within the household or store-shop orientated positions. At Rising Phoenix males and females train together and we promote staff on the basis of ability only. We are committed to equality of opportunity and this is reflected in our approach, including project design. This project will enable us to promote our core values to give opportunity to aspiring village women and empower them socially and economically, creating role models in society. In project design we have included the following aspects to address our core philosophy.

Financial literacy training will be implemented targeting females, who mainly manage the household finance and guarantee family food security.

Use existing senior female staff to encourage females to join the scout team.

The snare removal team will be female.

20% of participants in the Transboundary Advance Investigation training course will be female officers.

Q19. Change expected

Detail the expected changes to both illegal wildlife trade and poverty reduction this work will deliver. You should identify what will change and who will benefit, considering both people and species of focus a) in the short-term (i.e. during the life of the project) and b) in the long-term (after the project has ended).

When talking about how people will benefit, please remember to give details of who will benefit, differences in benefits by gender or other layers of diversity within stakeholders, and the number of beneficiaries expected. The number of communities is insufficient detail – number of households should be the largest unit used. Demand reduction projects should demonstrate their indirect links to poverty reduction.

Illegal wildlife trade will be reduced, household income increased, gender equality promoted and career opportunities created for spatially marginalised ethnic minority communities.

The IBIS Rice scheme will be expanded from 783 to 1,200 rural households benefiting an additional 6,000 rural people (3,000 males and 3,000 females). Based on 2021 data we project that each household selling their paddy will receive an additional cash income of the per annum.

We expect at least 60% of participating households will sell their paddy crop to IBIS Rice. It is expected that 90% of participating households will have adhered to their conservation agreements. At least one village marketing committee will be self-financing – an important step towards sustainability and local empowerment.

By 2025 twenty local people drawn from Lao and Kavet ethnic minorities will find permanent employment with Rising Phoenix as scouts. This means 20 families from these backgrounds will have permanent employment and household

income.

PATROL will be operationalized and one additional site on the Cambodia-Thai Border will be taking active steps to operationalize it. Multi-agency patrolling will cover all Siem Pang Wildlife Sanctuary. Patrols will be connected to intelligence and investigation networks with reach into Lao PDR and be able to dismantle criminal illegal wildlife trade syndicates prosecuting local and transboundary middlemen.

Illegal wildlife traders in Siem Pang District will be prosecuted and their trade routes disrupted through the dismantling of crime syndicates. We expect a reduction of encounters with armed poachers, as police assigned to the Siem Pang PATROL programme bring prosecutions under the criminal law for possession of illegal weapons, creating a further deterrent. Snaring will be reduced with the deployment of a female snare removal unit and via IBIS Rice conservation contracts, allowing the expanded law enforcement units to concentrate on counter-poaching operations and thus provide more patrol coverage of the protected area. Within the project period we also will see more intelligence led patrolling and investigations and in the long term this will enable pre-emptive patrolling and investigations of suspected criminals operating in Siem Pang and across the international border.

During a three-year project we cannot expect to see changes in the populations of the target species because the generation time for all target species is greater than three years. In the long term, we expect to see recovery in populations of species including Gaur, Banteng, Eld's Deer and Sambar, we will also see a considerable and sustainable reduction in large scale poaching, snaring and illegal logging. This will create an economic resource as a foundation for planned eco-tourism at Siem Pang Wildlife Sanctuary. This will have future benefits for the local community in terms of employment opportunities.

Q20. Pathway to change

Please outline your project's expected pathway to change. This should be an overview of the overall project logic and outline how you expect your Outputs to contribute towards your overall Outcome and, longer term, your expected Impact.

The logic of this project is that individuals will respond positively and take advantage of economic opportunities and discard unprofitable enterprises when the risk of foregoing financial gain combined with and prosecution increases.

Research undertaken by the International Initiative for Impact Evaluation at Oxford University and the Wildlife Conservation Society has shown that households participating in the IBIS Rice scheme are not only financially better off at a level determined to be equivalent to having a household member in full time employment or being able to purchase a hand-tractor, but the household clears four times less forest than a household not in the scheme.

The continued expansion of the IBIS Rice scheme will increase household wealth and forest destruction will decrease.

The provision of employment opportunities for marginalized communities and significantly better law enforcement, will make illegal wildlife trade a less economically viable option because the alternatives yield real economic benefits and assist integration of them further into the community.

PATROL provides a real and new model to strengthen transboundary multi-agency law enforcement and with the coordinating role played by a non-state actor increase impact and significantly reduce corruption and create a pre-emptive pro-active intelligence lead patrolling and investigations.

Q21. Exit Strategy

How the project will reach a sustainable point and continue to deliver benefits post-funding? Will the activities require funding and support from other sources, or will they be mainstreamed in to "business as usual"? How will the required knowledge and skills remain available to sustain the benefits? How will your approach, if proven, be scaled?

Rising Phoenix Co. Ltd was established to conserve Siem Pang wildlife Sanctuary and has made a long-term commitment to supporting the site. It is currently seeking a fifty year devolved management agreement with the Government of Cambodia. Rising Phoenix was incorporated in Cambodia in 2015 managed as a social enterprise and seeks to bring a business approach to the management of the site. It is currently funded from a combination of sources including international donors, high net worth individual and its board. However, the development of a sustainable financing mechanism drawing on amongst others high-end tourism and nature based solutions is under development with guarantee sustainability in the long-term.

Under this new project the IBIS Rice scheme will take its first steps towards sustainability by having at least one village networking committee self-financing by 2025 and through the introduction of village agents. The introduction of these two measures will empower the community and give them increased financial responsibility. These steps will happen within a wider context of IBIS Rice Conservation Co. Ltd achieving profitability through expanded global sales rendering third party subsidy of it operation no longer necessary.

International donor support for funding enforcement operations remains elusive and seems unlikely to change as long as the much of the international donor community fails to grasp its importance and remains over sensitised to the risk of funding it. This is factored into our fund-raising with the understanding that until attitudes change, Rising Phoenix will always directly fund this aspect of our work.

If necessary, please provide supporting documentation e.g. maps, diagrams, references etc., as a PDF using the File Upload below:

- A Supporting Documents Rising Phoenix
- ₫ 18/03/2022
- ③ 09:27:47
- pdf 2.59 MB

Section 7 - Risk Management

Q22. Risk Management

Please outline the 6 key risks to achievement of your Project Outcome and how these risks will be managed and mitigated, referring to the <u>Risk Guidance</u>. This should include at least one Fiduciary, one Safeguarding Risk, and one Delivery Chain Risk.

Projects should also draft their initial risk register, using the <u>Risk Assessment template</u>, and be prepared to submit this when requested if you are recommended for funding. Do not attach this to your application.

Risk Description	Impact	Prob.	Gross Risk	Mitigation	Residual Risk
Fiduciary Corruption is endemic in the Cambodian government and a high risk of misappropriation of funds.	moderate	likely	major	Rising Phoenix will control all project expenditure. Project partners (government organisations) costs will be paid directly by Rising Phoenix following Rising Phoenix internal financial policies and meeting the terms and conditions of the grant. Under this project there is no sub-grant or lump-sum payment to any government or non-government partner.	minor
Safeguarding Female scouts participating in mixed sex patrols are at a heightened risk from , sexual misconduct. This could lead to injury, a criminal offence and result in low morale and disruption of work.	moderate	possible	major	Rising Phoenix aims to field a female only snare removal team. We will ensure all law enforcement personnel that operate in Siem Pang Wildlife Sanctuary have been trained in our safeguarding policy, general rules (includes a code of conduct) and disciplinary procedure.	minor

Delivery Chain As funds will be sent in GBP and project expenditure is in US\$ there is a risk that a fluctuating exchange rates may reduce the available budget to achieve project outcome.	major	possible	major	Contingency cost have been incorporated within the budget.	major
Risk 4 One project outcome depends upon cooperation between the Government of Cambodia and Lao PDR there is a risk that tensions may arise between these countries resulting in the Border Liaison Mechanism not functioning and prevent investigations into illegal transboundary wildlife trade from being implemented	moderate	unlikely	moderate	The only mitigation possible is that should this arise, the activity will be cancelled and the budget shifted to other activities.	moderate
Risk 5 There is a risk that women will not apply for the position of scout resulting in the project not being able to recruit 10 female scouts.	minor	possible	moderate	Rising Phoenix female staff, including our current staff drawn from ethnic minorities, will lead the recruitment of female scouts.	minor
Risk 6 Due to the COVID-19 pandemic the international border between Cambodia and Lao-PDR remains closed, there is a risk this border may not open by 2023, which may curtail cross-border project activities.	moderate	possible	major	The only mitigation possible is that should this arise, the activity will be cancelled and the budget shifted to other activities.	major

Section 8 - Implementation Timetable

Q23. Provide a project implementation timetable that shows the key milestones in project activities

Provide a project implementation timetable that shows the key milestones in project activities. Complete the Word template as appropriate to describe the intended workplan for your project.

Implementation Timetable Template

Please add/remove columns to reflect the length of your project. For each activity (add/remove rows as appropriate) indicate the number of months it will last, and fill/shade only the quarters in which an activity will be carried out. The workplan can span multiple pages if necessary.

A IWT-R8-Implementation-Timetable-Rising Phoenix

₫ 18/03/2022

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pdf 184.66 KB

Section 9 - Monitoring and Evaluation

Q24. Monitoring and evaluation (M&E)

Describe how the progress of the project will be monitored and evaluated, making reference to who is responsible for the project's M&E.

IWT Challenge Fund projects are expected to be adaptive and you should detail how the monitoring and evaluation will feed into the delivery of the project including its management. M&E is expected to be built into the project and not an 'add' on. It is as important to measure for negative impacts as it is for positive impact. Additionally, please indicate an approximate budget and level of effort (person days) to be spent on M&E (see <u>Finance Guidance</u>).

Results and Activities monitoring will be led by Sopheap Mak (Head of Community Development Unit) and Tukla Mang (Head of Surveillance, Intelligence and Reporting unit), with oversight from James Lyon.

Rising Phoenix implements an adaptive management approach to ensuring project outputs are achieved. Each unit has an annual work plan, which is reviewed each month in a monthly heads of unit meeting. This provides a regular opportunity to adapt the approach if issues are arising or activities are delayed. In addition, each unit reports against its work plan in their unit monthly report.

The Community Development Unit monthly report will be a key tool to monitor the progress of achieving output 1. Sopheap will ensure all means of verification are collected, and in addition he will produce an annual report on output 1 each year to ensure the project is on track.

The Law Enforcement monthly report will be a key tool to track the progress towards Output 2 and 3, and Tukla will write an annual report on output 2 and 3 which will be used to evaluate how the project is progressing. He will also be responsible for ensuring all means of verification are collected.

Financial and Compliance monitoring will be led by James Lyon (Operations Manager), with oversight provided by Rising Phoenix CEO.

Rising Phoenix has a separate budget for each donor project and an annual budget, which incorporates forecasted project expenditure from each donor and is divided by Unit (based on Rising Phoenix structure). Quarterly financial reports are shared with the Rising Phoenix board of directors for approval, which includes a review of expenditure against forecasted and a reforecast budget for the year.

All procurement, cash advance requests and monthly budgets for Siem Pang operation have to be approved by the operations manager, and which must link to the approved annual budget by the board of directors. Rising Phoenix accounts have an independent annual audit. The operations manager is responsible for meeting reporting requirements to donors. The operations manager reports to the CEO who is responsible for external relations.

Situation/context and Organisational monitoring, will be led by Mark Bowman (Director of Enforcement), with oversight from James Lyon.

Mark will work closely with the project partners, in the delivery of Output 3. He will be either leading or providing direct oversight on the organisations of training course and study tours, and will regularly meet with the project partners on the implementation of Siem Pang PATROL. Therefore Mark will be constantly reviewing the capacity and capability of the partners to implement their project components. This also directly links to the situation/context monitoring which links to that of the local political environment. While James will lead on maintaining the project risk register.

Total project budget for M&E in GBP (this may include Staff, Travel and Subsistence costs)	
Percentage of total project budget set aside for M&E (%)	
Number of days planned for M&E	504

Section 10 - Logical Framework

Q25. Logical Framework

IWT Challenge Fund projects will be required to monitor and report against their progress towards their Outputs and Outcome. This section sets out the expected Outputs and Outcome of your project, how you expect to measure progress against these and how we can verify this.

• Stage 2 Logframe Template

Please complete your full logframe in the separate Word template and upload as a PDF using the file upload below – **please do not edit the template structure other than adding additional Outputs if needed as a logframe submitted in a different format may make your application ineligible**. Copy your Impact, Outcome and Output statements and your activities below - these should be the same as in your uploaded logframe.

Please upload your logframe as a PDF document.

- A IWT-R8-St2-Logical-Framework-Rising Phoenix
- ₿ 18/03/2022
- ③ 09:45:51
- pdf 91.52 KB

Impact:

Reduction of poverty and illegal wildlife poaching and trade in Siem Pang District, through innovative and scalable programmes in sustainable livelihoods, increased gender-neutral career opportunities and law enforcement.

Outcome:

Illegal wildlife trade reduced, household income increased and sustainable, gender equality promoted and career opportunities created for spatially marginalized ethnic minority communities.

Project Outputs

Output 1:

Expansion of IBIS Rice Programme at villages surrounding Siem Pang Wildlife Sanctuary, increasing household income.

Output 2:

A diverse and gender inclusive scout teams are established and operational.

Output 3:

Siem Pang PATROL Programme fully operational with multi-agency, patrols and investigations, transboundary dialog and ad-hoc investigations with Lao PDR occurring along the border

Output 4:

No Response

Output 5:

No Response

Do you require more Output fields?

It is advised to have fewer than 6 Outputs since this level of detail can be provided at the Activity level.

No

Activities

Each activity is numbered according to the Output that it will contribute towards, for example, 1.1, 1.2, 1.3 are contributing to Output 1.

Output 1: Expansion of IBIS Rice Programme at villages surrounding Siem Pang Wildlife Sanctuary, increasing household income.

1.1 Expand the IBIS Rice scheme and sell the IBIS Rice at a minimum 10% premium price

1.2 Capacity building to Village Marketing Network committees (VMN) to become Village Agents

1.3 Rice field mapping and registration for 300 households with the Department of Land Management

1.4 Financial management literacy training to IBIS Rice participants

1.5 IBIS Rice compliance monitoring and forest cover change assessment of SPWS

1.6 Conduct Farmers' Day for sharing lesson learnt and best practice to local farmers and authorities

Output 2: A diverse and gender inclusive scout teams are established and operational.

2.1 Notification - conduct planning and notification of Scout Team Recruitment and desired pre-qualifications in collaboration with the Siem Pang District Authority and Stung Treng Provincial Department of Environment, determine a short list of up to 60 possible recruits.

2.2 Selection Course - conduct a recruitment selection course process, including drug testing, ensuring a fair and transparent recruitment of 20 scouts from 60 applicants.

2.3 Equipment - Source and procure required equipment in accordance with policy and procedure.

2.4 Training – conduct 2-week competency-based scout training, covering Rising Phoenix policy, procedure and reporting, radio and SMART phone communications, remote first aid, navigation, field craft, surveillance patrolling, check points, de-snaring, wildlife awareness and recognition, use of camera traps and use of water craft.

2.5 Deploy – submit list of names to Stung Treng Provincial Department of Environment for official approval to work in and support activities in Siem Pang Wildlife Sanctuary and deploy scout team members on tasks in Siem Pang Wildlife Sanctuary.

2.6 Monthly reporting – produce monthly reports on activities using smart data, earth rangers and field reports. Output 3: Siem Pang PATROL Programme fully operational with multi-agency, patrols and investigations, transboundary dialog and ad-hoc investigations with Lao PDR occurring along the border

3.1 Development of Advance Investigation Training – working with the Siem Pang PATROL Operational Working Group (SPPOWG) and the Border Liaison Office (BLO) finalize curriculum outline and material, translate any new material from English to Khmer, and English and Khmer to Lao, select competent instructors.

3.2 Study Tour – working with the Siem Pang PATROL Operational Working Group (SPPOWG) and the Border Liaison Office (BLO) organize the approvals, schedule and selection of personnel from Lao PDR to attend a 5-day study tour to the Siem Pang PATROL Coordination Center, Siem Pang Wildlife Sanctuary and Border Area.

3.3 Approval – working through the Siem Pang PATROL Operational Working Group (SPPOWG) and the Border Liaison Office (BLO) Cambodia and Lao PDR gain approval to conduct transboundary advance investigation training for up to 10 Cambodian Government Investigation Officers and 10 Lao PDR Government Investigation Officers with at least 20% being female.

3.4 Advanced Investigation Training – implement 2-week training covering: introduction to illegal wildlife trade and transboundary crime, smuggling techniques, the border liaison mechanism, intelligence theory and the intelligence cycle, sources of information, handling informants, six phases of a major investigation, crime scene processing, interviewing techniques, prosecutorial requirements, technical equipment used in an investigation, surveillance, arrests, raids and takedowns. For up to 20 Cambodian and Laos Government Investigation Officers.

3.5 Patrol Refresher Training – Conduct refresher training for already existing Patrolling Officers under the Siem Pang PATROL programme, to update on any new tactical procedures to counter any threats utilized by poachers and violators. 3.6 Patrolling and Investigation Meetings, Monitoring and Reporting – Through the Siem Pang PATROL Coordination Center, the Border Liaison Meetings, and the Siem Pang PATROL Operational Group meetings, feed information into agencies for investigation and monitor and report on successes and lessons learnt, utilizing operational meetings minutes and data provided.

3.7 PATROL Guidelines Manual – Develop and finalize a manual outlining the establishment and functioning of a PATROL programme for use by other entities and organizations.

Section 11 - Budget and Funding

Q26. Budget

Please complete the appropriate Excel spreadsheet, which provides the Budget for this application. Some of the questions earlier and below refer to the information in this spreadsheet.

Note that there are different templates for projects requesting under £100,000 and over £100,000. Please refer to the <u>Finance Guidance</u> for more information.

- Budget form for projects under £100k
- Budget form for projects over £100k

Please ensure you include any co-financing figures in the Budget spreadsheet to clarify the full budget required to deliver this project.

N.B.: Please state all costs by financial year (1 April to 31 March) and in GBP. The IWT Challenge Fund cannot agree any increase in grants once awarded.

Please upload your completed IWT Challenge Fund Budget Form Excel spreadsheet using the field below.

- 选 Budget Rising Phoenix IWT
- ₿ 18/03/2022
- 09:47:53
- xlsx 95.79 KB

Q27. Funding

Q27a. Is this a new initiative or does it build on existing work (delivered by anyone and funded through any source)?

• Development of existing work

Please provide details:

This is a new initiative within an existing programme.

Rising Phoenix Co. Ltd was established to conserve Siem Pang wildlife Sanctuary and has made a long-term commitment to supporting the site. Rising Phoenix is currently funded from a combination of sources including international donors, high net worth individuals and its board. However, the development of a sustainable financing mechanism drawing on amongst others high-end tourism and nature based solutions is under development with guarantee sustainability in the long-term.

This is a new project that builds on the IBIS Rice scheme which was first introduced in Siem Pang District in 2016. The framework for Siem Pang PATROL was developed in 2021 and this new project will enable its implementation. We have previously piloted a scout unit but this project provides the first opportunity to take it to scale and reach ethnic minorities and women.

The IBIS Rice scheme is funded by Rising Phoenix, the Darwin Initiative via BirdLife International and the Critical Ecosystem Partnership Fund (CEPF). Enforcement operations are funded by Rising Phoenix.

IWT Challenge Fund would be the sole donor to the expanded scout team and the second PATROL donor.

Q27b. Are you aware of any current or future plans for similar work to the proposed project?

Yes

Please give details explaining similarities and differences, and explaining how your work will be additional and what attempts have been/will be made to co-operate with and learn lessons from such work for mutual benefits.

No. We are not aware of any current or future plans for similar work in Siem Pang District. Only Rising Phoenix operates in Siem Pang Wildlife Sanctuary and we are the largest investor in jobs and rural development in the district. IBIS Rice Conservation Co. Ltd. works in five provinces in Cambodia. As a result of our work Siem Pang District is the currently the second largest producer of IBIS Rice. PATROL is a novel programme between the Royal Government of Cambodia and UNODC and this will be the first time it is implemented along a border protected area by the government supported by Rising Phoenix.

Q28. Capital items

If you plan to purchase capital items with IWT funding, please indicate what you anticipate will happen to the items following project end. If you are requesting more than 10% capital costs, please provide your justification here.

The project does not intend to purchase any Capital items.

Q29. Value for Money

Please describe why you consider your application to be good value for money including justification of why the measures you will adopt will secure value for money.

As a registered company we operate within a commercial legal and institutional framework. Although we operate as a social enterprise we operate in a competitive market for labour and services. Rising Phoenix has its own internal procedures for finance, procurement and staff that promotes value for money. We have an established track record for project management at the site. All our donors have provided multiple grants. No items of capital expenditure are included in this project.

Our project budget does not include any overhead. Our budget is based on actual costs and all our expenditure is in rural Cambodia which is unlike international civil society organizations that regularly divert 10-40% overheads to their head office overseas thereby depriving the host country.

This project is leveraging £ 1.19 in co-financing, which offers good value for money.

Both the IBIS Rice scheme and PATROL offer almost endless opportunities for expansion and replication.

All Rising Phoenix resources are directed to Siem Pang Wildlife Sanctuary and we are the only entity working at the site and providing livelihoods support locally. So the project represents additionality.

This project promotes equity working at a household level and by affirmative action for ethnic minorities and women. Of the 1,220 beneficiary households this includes 3,050 women and girls. This project will provide permanent jobs to ten persons from ethnic minority backgrounds and ten women, thereby supporting 20 families.

Section 12 - Safeguarding and Ethics

Q30. Safeguarding

Projects funded through the IWT Challenge Fund must fully protect vulnerable people all of the time, wherever they work. In order to provide assurance of this, projects are required to have appropriate safeguarding policies in place.

Please confirm the Lead Partner has the following policies in place and that these can be available on request:

Please upload the lead partner's Safeguarding Policy as a PDF on the certification page.

We have a safeguarding policy, which includes a statement of our commitment to	Checked
safeguarding and a zero tolerance statement on bullying, harassment and sexual	
exploitation and abuse	

We have attached a copy of our safeguarding policy to this application (file upload on Checked certification page)

We keep a detailed register of safeguarding issues raised and how they were dealt with	Checked
We have clear investigation and disciplinary procedures to use when allegations and complaints are made, and have clear processes in place for when a disclosure is made	Checked
We share our safeguarding policy with downstream partners	Checked
We have a whistle-blowing policy which protects whistle blowers from reprisals and includes clear processes for dealing with concerns raised	Checked
We have a Code of Conduct for staff and volunteers that sets out clear expectations of behaviours - inside and outside the work place - and make clear what will happen in the event of non-compliance or breach of these standards	Checked

Please outline how you will implement your safeguarding policies in practice and ensure that downstream partners apply the same standards as the Lead Partner.

If your project involves data collection and/or analysis which identifies individuals (e.g. biometric data, intelligence data), please explain the measures which are in place and/or will be taken to ensure the proper control and use of the data. Please explain the experience of the organisations involved in managing this information in your project

At the launch workshop we will present the Rising Phoenix safeguarding policy to the partner organisations and provide them a copy of the policy. We will make clear at this workshop that our partners should apply the same standards. The implementation of the safeguarding policy will be reviewed quarterly to ensure compliance. If a breach has occurred, we will ensure a proper investigation is carried out following Rising Phoenix disciplinary procedure and ensure we keep record of the investigation, breach and resulting action in our safeguarding register.

When new staff are recruited, through orientation they are read company policies and procedures and are to sign acknowledgement that they understand. Copies of the policies and procedures are located with each unit head for easy access by staff members at any time.

All data is maintained within the secured headquarters of Rising Phoenix and personal data considered confidential is not shared with government or others. The office is a secured centre with restricted access, all computers have passwords and non-government staff have a non-disclosure agreement within their contracts.

Q31. Ethics

Outline your approach to meeting the key ethical principles, as outlined in the guidance.

Rising Phoenix Co. Ltd. is registered with the Ministry of Commerce and meets all legal and ethical obligations of the Kingdom of Cambodia, including relevant access and benefit sharing legislation pertaining to the utilisation of genetic resources and associated traditional knowledge as enshrined in the National Biodiversity Strategy and Action Plan. Rising Phoenix has policies relevant to Cambodian legal and ethical obligations, including staff behaviour and conduct; grievance and complaints, data protection; risk; bribery; health and safety and equal opportunities.

Rising Phoenix follows ethical principles of respect, safeguarding, justice and safety for all participants and stakeholders, including staff and project beneficiaries.

Rising Phoenix staff have worked with the local communities for many years, and are fully aware of societal norms and are sensitive to the needs and challenges that local communities are facing. Our approach follows principles of Prior Informed Consent (PIC) with communities and ensures the incorporation of stakeholder perspectives, interests and knowledge, in addressing the wellbeing of those directly impacted by the project. We recognise the value and importance of traditional knowledge, alongside international scientific approaches, and methods, and always respect the rights, privacy, and safety

of people who are impacted directly and indirectly by project activities.

Section 13 - FCDO Notifications

Q32. FCDO Notifications

Please state whether there are sensitivities that the Foreign Commonwealth and Development Office will need to be aware of should they want to publicise the project's success in the Darwin Initiative in any country.

No

Please indicate whether you have contacted FCDO Embassy or High Commission to discuss the project and attach details of any advice you have received from them.

Yes

Please attach evidence of request or advice if received.

- A Foreign Commonwealth and Development Office
- ▤ 18/03/2022
- ① 10:03:47
- pdf 18.18 KB

Section 14 - Project Staff

Q33. Project staff

Please identify the core staff (identified in the budget), their role and what % of their time they will be working on the project.

Please provide 1-page CVs or job description, further information on who is considered core staff can be found in the <u>Finance Guidance</u>.

Name (First name, Surname) Role		% time on project	1 page CV or job description attached?
James Lyon	Project Leader	25	Checked
Mark Bowman	Director of Enforcement. Has a governance role in the implementation of Siem Pang PATROL through deputy-chair position of SPPOWG. Chief instructor for all training under output 3, and responsible for gaining required approvals, also responsible for oversight of Outputs 2 and 3.	75	Checked
Tukla Mang	Head of Surveillance, Intelligence and Reporting unit (SIR) unit. Responsible for M and E for output 2 and 3, while leading on finalisation of law enforcement reports, implementation of study tour (under output 3). Will play a key role in patrolling and investigation monitoring.	75	Checked

100

Do you require more fields?

⊙ Yes

Name (First name, Surname)	Role	% time on project	1 page CV or job description attached?
Bunleang Hai	Intelligence Officer. Assistant trainer for scout selection course and scout training course, lead trainer of PATROL refresher course. Lead on compliance work for Ibis Rice, and support development of patrol orders, briefing and debriefing.	100	Checked
Sopheap Mak	Head of Community Development Unit (CDU). Responsible for M and E of Output 1, and providing oversight on the implementation of Output 1.	75	Checked
Ren Sung	IBIS Rice Section Leader. Lead on implementing activities under Output 1.	100	Checked
Vuthy Sry	Intelligence Officer. Lead on development of patrol orders, briefing and debriefing, and provide support on Ibis Rice Compliance work	100	Checked
Vannak Croek	Intelligence Officer. Lead on development of patrol orders, briefing and debriefing, and provide support on Ibis Rice Compliance work	100	Checked
No Response	No Response	0	Unchecked
No Response	No Response	0	Unchecked
No Response	No Response	0	Unchecked

Please provide 1 page CVs (or job description if yet to be recruited) for the project staff listed above as a combined PDF.

Ensure the file is named clearly, consistent with the named individual and role above.

- A Rising Phoenix CVs Combined
- ▤ 18/03/2022
- ① 10:08:35
- pdf 1.33 MB

Have you attached all project staff CVs?

Section 15 - Project Partners

Q34. Project partners

Please list all the Project Partners (including the Lead Partner), clearly setting out their roles and responsibilities in the project including the extent of their engagement so far and planned.

This section should demonstrate the capability and capacity of the Project Partners to successfully deliver the project. Please provide Letters of Support for all project partners or explain why this has not been included.

Lead partner name:	Rising Phoenix Co. Ltd.
Website address:	www.risingphoenix.ltd
Details (including roles and responsibilities and capabilities and capacity):	 (Max 200 words) 107 words Rising Phoenix is the lead organisation and will manage and coordinate the project. Rising Phoenix has a staff of 55 and an annual budget of US\$ 1.2 million. Amongst its senior staff are the most experienced protected area and enforcement staff in the region. The Community Development unit, will lead on the work linked with expansion on the lbis Rice Programme, including capacity building, rice field mapping, financial management training, and farmers field day. The Surveillance, Intelligence and Reporting unit will provide coordination, oversight and intelligence that will underpin enforcement and prosecution of offenders. Rising Phoenix will train scouts and law enforcement officials drawn from government agencies.
Allocated budget (proportion or value):	
Represented on the Project Board	⊙ Yes
Have you included a Letter of Support from this organisation?	⊙ Yes
Have you provided a cover letter to address your Stage 1 feedback?	⊙ Yes

Do you have partners involved in the Project?

⊙ Yes

1. Partner Name:	Stung Treng Provincial Authority (STPA), Ad-hoc Committee for IBIS Rice and Siem Pang PATROL
Website address:	n/a
Details (including roles and responsibilities and capabilities and capacity):	The Stung Treng Provincial Authority has the authority to direct all government agencies and departments operating in their province, to improve the safety, security, welfare and development of the province. Outputs 1, 2 and 3 The Deputy Governor has been directed to Chair and implement the Ad-hoc Committee on IBIS Rice and Siem Pang PATROL Facilitate the consultation process on the development and implementation of the project, with specific concentration on all law enforcement agencies including but not limited to the Border Police, Border Army and Prosecutors Office. Facilitate the consultation process on the development and implementation of IBIS Rice with specific concentration on elected commune, village chiefs and village participants. Facilitate the consultation process and activity implementation for IBIS Rice Facilitate the consultation process and activity implementation for Siem Pang PATROL Ensure all agreements are produced, agreed upon and signed for the project to commence. Ensure coordination, understanding and knowledge of the project amongst the relevant agencies, provincial and district level authorities.
Allocated budget:	
Represented on the Project Board	⊙Yes
Have you included a Letter of Support from this organisation?	⊙ Yes

2. Partner Name: Siem Pang District Administration (SPDA), Siem Pang PATROL Operational Working Group

Website address:	n/a
Details (including roles and responsibilities and capabilities and capacity):	 Siem Pang District Administration has the authority to direct District Administration Unity Committee and all relevant departments operating in their district, to improve the safety, security, welfare and development of the district geographic area. Outputs 1, 2 and 3 The Siem Pang District Administration led by the District Governor also established the Siem Pang PATROL Operational Working Group (SPPOWG), under the direction of the Stung Treng Provincial directive. The SPPOWG is responsible to: Direct, control and coordinate with; Border Police Battalion 701, District Police, District Royal Gendarmerie Khmer, District Military Division, other military units assigned to Siem Pang District and other required law enforcement agencies; Chair the Siem Pang PATROL Operational Working Group quarterly and special meetings and develop interagency cooperation; Direct agencies as per the Siem Pang District Administration's mandate and the SPPOWG for collaborative operations and tasks in the countering of illegal activity; Led and attend awareness raising meetings and events in the promotion of the SPPOWG aims and activities; share information among the SPPOWG on illegal activity and determine solutions to the problems. Meet regularly and work in close collaboration with the Community Development Team to oversee the implementation and support to community engagement in IBIS Rice.

Allocated budget:	
Represented on the Project Board	⊙ Yes
Have you included a Letter of Support from this organisation?	●Yes

3. Partner Name: Border Liaison Office

Website address:	n/a
Details (including roles and responsibilities and capabilities and capacity):	Border Liaison Office (BLOs) promote communication and cooperation between different national law enforcement agencies working along the border. They foster greater cross-border law enforcement cooperation through the sharing of real time information on drug, wildlife, timber and human traffickers to enable fast and effective intervention by law enforcement officers on the other side of the border. BLO counterparts meet on a regular basis both formally and informally to exchange intelligence. Periodically, regional BLO workshops are held to disseminate the latest modus operandi of traffickers and the trafficking routes. Output 3 The BLO (Cambodian-Lao Border), will facilitate as a stakeholder the requirements under Output 3: Siem Pang PATROL Programme fully operational with multi-agency, patrols and investigations, transboundary dialog and ad-hoc investigations with Lao PDR occurring along the border.
Allocated budget:	
Represented on the Project Board	⊙ Yes
Have you included a Letter of Support from	⊙ Yes

4. Partner	Stung Treng Department of Environment	
Name:		
Website address:	n/a	

Details (including roles and responsibilities and capabilities and capacity):	Provincial Department of Environment has the management authority of the protected areas within Stung Treng Province, to implement protection and natural resource management in accordance with the national strategic plan, policies and the protected area law. Outputs 2 and 3 Meet regularly with the Siem Pang PATROL Programme staff to closely coordinate, discuss and develop solutions for any problems and issues that may arise during the course of implementation. Ensure coordination, understanding and knowledge of the project amongst the relevant national, provincial and district level department of environment staff. Share information with the Siem Pang PATROL Programme staff on development and law enforcement matters arising within Stung Treng Provincial authority which could affect the implementation of PATROL or impact the Siem Pang Wildlife Sanctuary. Attendance in quarterly stakeholder forums
Allocated budget:	
Represented on the Project Board	⊙ Yes
Have you included a Letter of Support from this organisation?	⊙ Yes
5. Partner Name:	No Response
Website address:	No Response
Details (including roles and responsibilities and capabilities and capacity):	No Response
Allocated budget:	£0.00
Represented on the Project Board	O Yes O No

Have you included a Letter of Support from this organisation?	O Yes O No
6. Partner Name:	No Response
Website address:	No Response
Details (including roles and responsibilities and capabilities and capacity):	No Response
Allocated budget:	£0.00
Represented on the Project Board	O Yes O No
Have you included a Letter of Support from this organisation?	O Yes O No

If you require more space to enter details regarding Partners involved in the project, please use the text field below.

No Response

Please provide a cover letter responding to feedback received at Stage 1 if applicable and a combined PDF of all letters of support.

- A Rising Phoenix Cover Letter
- 菌 18/03/2022
- ③ 10:21:18
- 🗅 pdf 126.83 KB

A Rising Phoenix Supporting Letters

- ▤ 18/03/2022
- ③ 10:20:35
- 🖻 pdf 708.67 KB

Section 16 - Lead Partner Capability and Capacity

Q35. Lead Partner Capability and Capacity

Has your organisation been awarded IWT Challenge Fund funding before (for the purposes of this question, being a partner does not count)?

• No

If no, please provide the below information on the lead partner.

What year was your organisation established/ incorporated/ registered?	01 January 2015
What is the legal status of your organisation?	⊙ Other (if selected, please explain below)
Other explained	Registered business in Cambodia operating as a social enterprise, with shareholders taking no dividend.
How is your organisation currently funded?	Core funding from Directors. Contributions from high net worth individuals. Grants from international donor organisations.

Describe briefly the aims, activities and achievements of your organisation. Large organisations please note that this should describe your unit or department.

Aims	Rising Phoenix aims to restore and conserve Siem Pang Wildlife Sanctuary, via a public private partnership, not previously seen in Asia. We aim to combine the traditional donor approach with a private sector business model to secure the long term conservation of the site.
Activities	Rising Phoenix works in five areas; biodiversity monitoring, rewilding, community development, law enforcement and infrastructure development and management. We are negotiating a public private partnership with the government of Cambodia for a 30 year agreement to manage SPWS, following the successful model pioneered by African Parks.
Achievements	Rising Phoenix manages SPWS. It has built infrastructure (headquarters, ranger stations and tented camp). It has trained and equipped 40 law enforcement officers. Its Community Development Unit has made Siem Pang district the second largest producer of IBIS Rice. It undertakes biodiversity monitoring and rewilding including restoration of 50 wetlands.

Provide details of 3 contracts/projects held by the lead partner that demonstrate your credibility as an organisation and provide track record relevant to the project proposed.

These contracts/awards should have been held in the last 5 years and be of a similar size to the grant requested in your Darwin application.

Contract/Project 1 Title	Conserving vultures and ibises in their last Cambodian stronghold
Contract Value/Project budget (include currency)	

Duration (e.g. 2 years 3 months)	3 years
Role of organisation in project	Rising Phoenix is the main contractor, and is implementing activities and coordinating the implementation of selected activities with project partners.
Brief summary of the aims, objectives and outcomes of the project	 Increase the amount of food at vulture restaurants. We will provide water buffalo to the vulture restaurants to enable more vultures to feed adequately and hold a restaurant each week. Establish feral water buffalo herd, to restore a missing ecological role and bring back large herds of wild water buffalo through allowing domestic buffalo to become feral. Increase vulture nesting success: Provision of ten artificial nesting platforms for vultures and monitoring of vulture nesting seasons. Monitoring and research impact of the project on the 5 focus critically endangered bird species, and on the wetlands.
Client/independent reference contact details (Name, e-mail)	Critical Ecosystem Partnership Fund (CEPF) Indo-Burma Hotspot Jack Tordoff, Managing Director CEPF
Contract/Project 2 Title	Launching Siem Pang PATROL at Siem Pang Wildlife Sanctuary, Cambodia.
Contract Value/Project budget (include currency)	
Duration (e.g. 2 years, 3 months)	9 months
Role of organisation in project	Rising Phoenix was the main contractor and lead on the implementation of project activities, reporting and financial control.
Brief summary of the aims, objectives and outcomes of the project	 Grant funds for the launch of Siem Pang PATROL Programme. Particular operational focus is given to strengthening and expanding the operations of selected law enforcement agencies to effectively patrol the Siem Pang border areas including the porous border, forests, villages and communes. 1. Implement Counter trafficking training for select border police officers. 2. Implement a capacity assessment of District army personnel and implement counter trafficking for select district army personnel 3. Implement Team Leader training for selected personnel that have completed previous training, and have identified leadership skills. 4. Support the coordination cost of Siem Pang PATROL programme implementation.
Client/independent reference contact details (Name, e-mail)	Rising Phoenix Conservation Incorporated (501 3 c) John Baker, Secretariat
Contract/Project 3 Title	Enhanced protection at two of Cambodia's most important wildlife sanctuaries
Contract Value/Project budget (include currency)	

Duration (e.g. 2 years, 3 months)	18 months
Role of organisation in project	On the ground implementing partner for Siem Pang Wildlife Sanctuary, receiving sub-grant for project implementation from Birdlife International.
Brief summary of the aims, objectives and outcomes of the project	Grant funds used to strengthen protected area management infrastructure and increase enforcement capacity at Siem Pang Wildlife Sanctuaries. The project will do this by supporting accurate demarcation of key sections of protected area boundary and zones, and through the provision of check-posts at strategic points. Capacity to enforce the boundaries will be strengthened at each of these sites through the provision of basic ranger equipment, communications technology and vehicles.
Client/independent reference contact details (Name, e-mail)	Birdlife International (Lead Contractor) - Fondation Segre (Donor) Richard Grimmett, Director of Conservation

Have you provided the requested signed audited/independently examined accounts?

If yes, please upload these on the certification page. Note that this is not required from Government Agencies.

Yes

Section 17 - Certification

Q36. Certification

On behalf of the

Company

of

Rising Phoenix Co. Ltd.

I apply for a grant of

I certify that, to the best of our knowledge and belief, the statements made by us in this application are true and the information provided is correct. I am aware that this application form will form the basis of the project schedule should this application be successful.

(This form should be signed by an individual authorised by the applicant institution to submit applications and sign contracts on their behalf.)

- I have enclosed CVs for project key project personnel, letters of support, budget, logframe, safeguarding policy and project implementation timetable (uploaded at appropriate points in application).
- Our last two sets of signed audited/independently verified accounts and annual report (or other financial evidence see <u>Financial Guidance</u>) are also enclosed.

Checked

Name	Jonathan Charles Eames
Position in the organisation	Chief Executive Officer
Signature (please upload e-signature)	 ▲ <u>OBE signature</u> ★ 18/03/2022 ④ 10:30:54 ▲ jpg 28.38 KB
Date	18 March 2022

Please attach the requested signed audited/independently examined accounts.

- A RP Financial Statements Audited 2020
- 菌 18/03/2022
- ③ 10:31:31
- pdf 2.74 MB

Please upload the Lead Partner's Safeguarding Policy as a PDF

A Rising Phoenix Safeguarding policy

- і 18/03/2022
- ③ 10:31:39
- 🖻 pdf 197.7 KB

Section 18 - Submission Checklist

Checklist for submission

	Check
I have read the Guidance, including the "IWT Challenge Fund Guidance", "Monitoring Evaluation and Learning Guidance", "Risk Guidance" and "Financial Guidance".	
I have read, and can meet, the current Terms and Conditions for this fund.	Checked
l have provided actual start and end dates for the project.	
I have provided my budget based on UK government financial years i.e. 1 April – 31 March and in GBP.	Checked
I have checked that our budget is complete, correctly adds up and I have included the correct final total at the start of the application.	Checked
The application been signed by a suitably authorised individual (clear electronic or scanned signatures are acceptable).	
I have attached my completed logframe as a PDF using the template provided	Checked
(If copying and pasting into Flexi-Grant) I have checked that all my responses have been successfully copied into the online application form.	

- A RP Financial Statements Audited 2019
- 菌 18/03/2022
- ③ 10:31:24
- pdf 2.79 MB

l have included a 1 page CV or job description for all the Project Staff identified at Question 33, including the Project Leader, or provided an explanation of why not.	
l have included a letter of support from the Lead Partner and partner(s) identified at Question 34, or an explanation of why not.	
I have included a cover letter from the Lead Partner, outlining how any feedback received at Stage 1 has been addressed where relevant.	Checked
I have included a copy of the Lead Partner's safeguarding policy, which covers the criteria listed in Question 30.	Checked
I have been in contact with the FCDO in the project country/ies and have included any evidence of this. If not, I have provided an explanation of why not.	Checked
I have included a signed copy of the last 2 annual report and accounts for the Lead Partner, or other evidence of financial capacity as set out in the Financial Guidance, or provided an explanation if not.	
I have checked the IWT Challenge Fund website immediately prior to submission to ensure there are no late updates.	Checked
I have read and understood the Privacy Notice on the IWT Challenge Fund website.	Checked

We would like to keep in touch!

Please check this box if you would be happy for the lead applicant (Flexi-Grant Account Holder) and project leader (if different) to be added to our mailing list. Through our mailing list we share updates on upcoming and current application rounds under the Darwin Initiative and our sister grant scheme, the IWT Challenge Fund. We also provide occasional updates on other UK Government activities related to biodiversity conservation and share our quarterly project newsletter. You are free to unsubscribe at any time.

Checked

Data protection and use of personal data

Information supplied in the application form, including personal data, will be used by Defra as set out in the **Privacy Notice**, available from the <u>Forms and</u> <u>Guidance Portal</u>.

This **Privacy Notice must be provided to all individuals** whose personal data is supplied in the application form. Some information may be used when publicising the Darwin Initiative including project details (usually title, lead partner, project leader, location, and total grant value).